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APPENDICES

None

FIGURES

None



16 Summary

16.1 Summary of Preliminary Effects

- 16.1.1 Based on the preliminary assessment reported in this PEI Report, the scale and location of the project means several different aspects of the environment would potentially be affected. Some of these effects would occur during construction, such as the removal of vegetation and wildlife habitat, and the generation of dust and noise. Other impacts would occur during operation, such as noise from traffic, changes to travel conditions, and development of new habitats from the landscape and ecological mitigation proposals.
- 16.1.1 The project comprises eight individual schemes. Construction works are expected to commence in 2024, with all schemes targeted for a 2029 completion or sooner depending on traffic management interface challenges. This complexity means that, where relevant, the preliminary assessments have considered identification of effects and proposed mitigation specific to each scheme (including any alternative alignments for those schemes) as well as considering the potential for route wide effects. Where the assessment is completed at only a route wide level this is specified and explained in the relevant chapter. The technical chapters 5 to 15 present the preliminary assessments for the individual Environmental Impact Assessment (EIA) topics. Each assessment provides a preliminary assessment of the likely significant effects on the environment. Table 16-2: Summary of preliminary assessment of likely significant environmental effects - Route wide to Table 16-10: Summary of preliminary assessment of likely significant environmental effects - A1(M) Junction 53 Scotch Corner provide a high-level summary of the potential significant effects identified.
- 16.1.2 Chapter 2: The Project, provides a description of the project including the alternative alignment routes, sections and junctions that have been assessed as part of this PEI Report. These alternatives are summarised in Table 16-1: Alternative alignments assessed.

Scheme	Alternatives
M6 Junction 40 to Kemplay Bank	Preferred Route with design refinements
Penrith to Temple Sowerby	Preferred Route with design refinements
Temple Sowerby to Appleby	Blue Route (Evolved version of the Preferred Route announced in Spring 2020) Orange (Online alternative) Red (Offline alternative)
Appleby to Brough	Black (Evolved version of the Preferred Route announced in Spring 2020) Blue alternative Section Orange alternative Section Where relevant these alternatives have been considered in the following combinations: • Black-Black-Black • Black-Blue-Black • Black-Blue-Black • Black-Blue-Orange • Black-Blue-Orange.
Bowes Bypass	Preferred Route with design refinements
Cross Lanes to Rokeby	Black (Evolved version of the Preferred Route announced in Spring 2020)

Table 16-1: Alternative alignments assessed



Scheme	Alternatives
	Cross Lanes – Blue alternative Junction Rokeby – Red alternative Junction Where relevant these alternatives have been considered in the following combinations: • Black-Black • Blue-Black • Black-Red • Blue-Red
Stephen Bank to Carkin Moor	Preferred Route with design refinements
A1(M) Junction 53 Scotch Corner	Added to the project since Preferred Route Announcement

16.1.3 The design of the project and baseline surveys are ongoing. The mitigation identified through the assessment presented in this report is being reviewed and, where possible, incorporated into the on-going design process. The ongoing EIA process will incorporate further survey information (where relevant), and consider the final design to be presented at Development Consent Order (DCO) Submission including all embedded mitigation. The Environmental Statement (ES) will therefore consider these identified potential effects and further assess their significance, taking into account proposed mitigation measures. Should the design of the project (including any alternative alignments) change as a result of statutory consultation feedback, the ES will also identify and assess any new potential effects arising from design changes.



Route wide

Table 16-2: Summary of preliminary assessment of likely significant environmental effects - Route wide

Factor	Preliminary assessment of likely significant environment	tal effects
	Construction stage	Operation stage
Air Quality*	 Potential for likely significant effects from construction-related traffic movements (in terms of flows and routes taken) or diverted local traffic, due to a deterioration in air quality for human receptors or as a result of elevated nitrogen deposition at designated ecological receptors. A particular concern would be if construction-related vehicles affected or diverted local traffic within the currently proposed Penrith Castlegate Air Quality Management Area (AQMA) or other locations with sensitive receptors close to these routes approaching the Air Quality Objective (AQO). 	 There are 15 designated ecological sites as follows where nutrient nitrogen deposition is anticipated to fall as a consequence of the project. These locations have the potential to experience likely significant effects¹. North Pennine Moors Special Protection Area (SPA) North Pennine Moors Special Area of Conservation (SAC) Argill Woods and Pasture Site of Special Scientific Interest (SSSI) Augill Valley Pasture SSSI Bowes Moor SSSI Pallet Hill Local Wildlife Site (LWS) Stephen Bank Road Verge LWS Augill Beck Wood Ancient Woodland (AW) Augill Bridge Wood AW Deepdale Wood AW Oglebird Plantation AW Raughtonguill Wood AW Thorgill Wood AW Thorgill Wood AW Thorgill Wood AW

¹ Highways England is developing a tool for determining the additional contribution of ammonia (NH₃) emissions from vehicles to deposited nitrogen. It is expected that this method will be available for use at the ES stage and therefore the potential ecological impacts will be updated accordingly.



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Biodiversity	 Potential likely significant effects anticipated during construction for the following, subject to further survey and mitigation design: Habitats Bat roosts Bat activity Terrestrial mammals, including Red squirrel Wintering and breeding birds Barn owl Reptiles Amphibians Terrestrial invertebrates Macrophytes 	 Potential likely significant effects anticipated during operation for the following, subject to further survey and mitigation design: Habitats Bat roosts Bat activity Terrestrial mammals, including Red squirrel Wintering and breeding birds Barn owl Reptiles Amphibians Terrestrial invertebrates Macrophytes
Climate	No likely route wide significant effects anticipated.	No likely route wide significant effects anticipated.
Cultural Heritage	• No likely route wide significant effects anticipated.	No likely route wide significant effects anticipated.
Geology and Soils	 Likely significant effects due to the potential permanent land take and loss of high and medium value agricultural soil resource (Grade 2, 3a and 3b agricultural land). Likely significant effects on soils supporting the River Eden SAC, River Eden and Tributaries SSSI. 	No likely route wide significant effects anticipated.
Landscape and Visual Effects	No likely route wide significant effects anticipated.	No likely route wide significant effects anticipated.
Material Assets and Waste*	 A likely significant effect is anticipated in relation to the sterilisation of Mineral Safeguarding Sites. Likely significant effects cannot be ruled out at this stage with regards to aggregates imported to site. The potential for importation of aggregates with low recycled content will be assessed in the ES when information becomes available. 	No likely route wide significant effects anticipated.
Noise and Vibration	 There is potential for significant effects across the project route wide. Effects will be temporary and 	Significant adverse effects are predicted to 979 residential receptors and 37 non-residential receptors.



Factor Preliminary assessment of likely significant environmental effects		al effects
	Construction stage	Operation stage
	localised depending on the specific activity and construction stage subject to further assessment.	 Subject to on-going mitigation design and further assessment. Significant beneficial effects are predicted to 530 residential receptors and 79 non-residential receptors.
Population and Human Health	 Private property and housing – Potential for temporary disruption to access and adverse effects on amenity access. At this stage potential significant effects cannot be ruled out. Development land and businesses – Potential for significant effects as a result of temporary disruption to access and adverse effects on amenity due to construction activities. Community land and assets - Potential for significant effects as a result of temporary disruption to access and adverse effects on amenity due to construction activities Walkers, cyclists and horse riders - Potential for significant effects as a result of temporary disruption to access and adverse effects on amenity due to construction activities Walkers, cyclists and horse riders - Potential for significant effects as a result of temporary disruption to access and adverse effects on amenity due to construction activities Walkers, cyclists and horse riders - Potential for significant effects as a result of temporary disruption to access and adverse effects on amenity due to construction activities Agricultural land holdings – potential for significant effects as a result of loss of agricultural holdings and disruption of access. There is potential for temporary significant effects due to construction related nuisance and distribution. There is a potential for positive health effects resulting from jobs created during construction, though at the current stage it is not known how many jobs will be created. 	 There is a potential for positive health effects resulting from the economic benefits of reduced severance and shorter commuting times.

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Factor Preliminary assessment of likely significant environmental effects		al effects
	Construction stage	Operation stage
Road Drainage and the Water Environment	 No likely route wide significant effects anticipated. 	 No likely route wide significant effects anticipated.

*At this stage the air quality assessment and material assets and waste assessment within the PEI Report has been presented at a route wide level only. The ES will present the assessment findings at an individual scheme and route wide level.



M6 Junction 40 to Kemplay Bank

Table 16-3: Summary of preliminary assessment of likely significant environmental effects - M6 Junction 40 to Kemplay Bank

Factor	Preliminary assessment of likely significant environmental effects		
	Construction stage	Operation stage	
Air Quality	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above).# 	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above). 	
Biodiversity	 There is potential for likely significant adverse effects to Skirsgill Wood County Wildlife Site (CWS), Yanwath Wood CWS, Myers Beck (Mardale Road) CWS, Lowther Bridge Site of Invertebrate Significance, due to habitat loss and air quality, subject to further assessment and design. Effects will be the same as route wide table above on habitats - improved grassland, woodlands, semi-improved neutral grasslands, hedgerows, rivers and streams. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, reptiles, common toad, terrestrial invertebrates and macrophytes, subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. 	 There is potential for likely significant adverse effects to the River Eden SAC and River Eden and Tributaries SSSI, Asby Complex SAC, Crosby Ravensworth Fell SSSI, Skirsgill Wood County Wildlife Site (CWS), Yanwath Wood CWS, Myers Beck (Mardale Road) CWS, Newbiggin Wood Ancient Woodland (AW), Raughtongill Wood AW and Lowther Bridge Site of Invertebrate Significance relating to air quality, subject to further assessment. Effects will be the same as route wide table above on habitats - improved grassland, woodlands, semi-improved neutral grasslands, hedgerows, rivers and streams. Effects will be the same as route wide table above on bat roosts and bat activity, wintering birds, breeding birds, barn owls, reptiles, common toad, terrestrial invertebrates and macrophytes, subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. 	
Climate	 No likely significant effects anticipated. 	No likely significant effects anticipated.	
Cultural Heritage	No likely significant effects anticipated.	No likely significant effects anticipated.	
Geology and Soils	 Likely significant effects due to the potential permanent land take and loss of high value agricultural soil resource (Grade 3a agricultural land). Likely significant effects on soils supporting SAC or SSSI. 	 No likely significant effects anticipated. 	



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Landscape and Visual Effects	 Likely significant, temporary effects: In a localised part of 6: Intermediate Farmland landscape sub-type On residents of Clifton Road On users of Wetheriggs Country Park On users of visitors to Mayburgh Henge On users of PRoW to the south of the scheme 	 Likely significant effects at year 1: In a localised part of 6: Intermediate Farmland landscape sub-type On residents of Clifford Road On users of Wetheriggs Country Park Likely significant effects at year 15: None
Material Assets and Waste	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above). 	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).
Noise and Vibration	 There is potential for significant effects across the scheme. Effects will be temporary and localized depending on the specific activity and construction stage. The location and duration of these effects are yet to be determined and are subject to further assessment. 	 Significant adverse effects predicted to 117 residential receptors. Subject to on-going mitigation design and further assessment.
Population and Human Health	 Walkers, cyclists and horse riders – likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings - likely significant adverse effects due the loss of or damage to key characteristics, features or elements of the agricultural holding and potential effect of this change on viability. Skirsgill employment allocation, at land adjacent to Skirsgill Depot – potential likely significant effects due to permanent loss of allocated land. Kingdom Hall of Jehovah's Witnesses – potential likely significant effects due to permanent land take. Wetheriggs Country Park – potential likely significant effects due to land take required for construction of the scheme, including the woodland edge of the park to be felled. 	 Walkers, cyclists and horse riders – potential for beneficial significant effects if additional formal crossing points are introduced across the A66, that will bring improvements to WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Local residents, users of local footpaths, Wetheriggs Country Park and Pategill Open Space - potentially negative health effect due to increased traffic noise. Local residents and users of Wetheriggs Country Park - potentially negative health effect due to the visual impact of vegetation clearance and new road infrastructure. Residents to the south of Penrith - potentially positive health effect due to improved access to community facilities due to improved traffic flows around Kemplay Bank Roundabout.



Factor Preliminary assessment of likely significant environmental effects		effects
	Construction stage	Operation stage
	 Skirsgill Park – potential likely significant effects due land take. This potentially could affect access to this section of the park. Happy Hooves Riding Centre – potential likely significant effects due to permanent land take required. Construction nuisance and disruption could impact the Centre's ability to function due to potential effects upon the animals. Study area population (including vulnerable groups) – potentially negative health effect due to increased HGV movements on the local road network. Local residents and users of local footpaths, Wetheriggs Country Park, Carleton Heights, Wetheriggs and Pategill Open Spaces - potentially negative health effect due to construction noise. Local residents and users of local footpaths and Wetheriggs Country Park - potentially negative health effect due to construction noise. Local residents and users of local footpaths and Wetheriggs Country Park - potentially negative health effect due to night- time construction lighting and noise. Local residents - potentially negative health effect due to night-time construction lighting and noise. Residents to the south of Penrith - potentially negative health effect due to temporary traffic delays at Kemplay Bank Roundabout affecting journeys to Penrith Hospital, and emergency vehicles exiting the Community Fire and Ambulance Station. Residents to the south of Penrith - potentially negative health effect due to temporary traffic delays at Kemplay Bank Roundabout reducing access by car/bus to community facilities in Penrith. Residents to the north of Carleton Avenue - potentially negative health effect due to temporary traffic delays at Kemplay Bank Roundabout reducing access by car/bus to community facilities in Penrith. Residents to the north of Carleton Avenue - potentially negative health effect due to temporary traffic delays at Kemplay Bank Roundabout reducing access by car/bu	

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Factor	Preliminary assessment of likely significant e
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Factor Preliminary assessment of likely significant environmental effects		effects
	Construction stage	Operation stage
Road Drainage and the Water Environment	 No likely significant effects anticipated. 	 No likely significant effects anticipated.



Penrith to Temple Sowerby

Table 16-4: Summary of preliminary assessment of likely significant environmental effects - Penrith to Temple Sowerby

Factor Preliminary assessment of likely significant environmental effects		Il effects
	Construction stage	Operation stage
Air Quality	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above). 	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above).
Biodiversity	 There is potential for significant adverse effects on River Eden SAC and River Eden and Tributaries SSSI relating to habitat loss, subject to further design and assessment. There is potential for adverse effects at Whinfell Forest CWS relating to Red squirrel. Effects will be the same as route wide table above on habitats (due to loss of Priority Habitats). Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, amphibians (common toad and Great Crested Newts), reptiles, terrestrial invertebrates and macrophytes, subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. 	 There is potential for significant adverse effects on River Eden SAC and River Eden and Tributaries SSSI relating to air quality, subject to further assessment. Potential significant beneficial effects on Whinfell Forest CWS subject to ecology mitigation design and agreement. Effects will be the same as route wide table above on habitats. Effects will be the same as route wide table above on bat roosts and bat activity, breeding birds and wintering birds, barn owls, amphibians (common toad and Great Crested Newts), reptiles, terrestrial invertebrates and macrophytes, subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts.
Climate	No likely significant effects anticipated.	No likely significant effects anticipated.
Cultural Heritage	 Permanent significant adverse effects are anticipated at the scheduled monuments of the Ring ditches at Brougham. Permanent significant adverse effects are anticipated to affect the Site of the Hartshorn Tree and associated cropmarks. 	 No likely significant effects anticipated.
Geology and Soils	• Likely significant effects due to the potential permanent land take and loss of high value agricultural soil resource (Grade 2 and 3a agricultural land).	 No likely significant effects anticipated.



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Landscape and Visual Effects	 Likely significant, temporary effects: In a localised part of 6: Intermediate Farmland landscape sub-type In a localised part of 8b: Broad Valleys landscape sub-type In a localised part of 10: Sandstone Ridge landscape sub-type On residents of Brovacum, Dinglefield, Foxgloves and Lightwater Cottages, Whinfell Park, Lane End, Woodside and Whinfell House On visitors to Center Parcs Holiday Village 	 Likely significant effects at year 1: In a localised part of 6: Intermediate Farmland landscape sub-type In a localised part of 8b: Broad Valleys landscape sub-type In a localised part of 10: Sandstone Ridge landscape sub-type On residents of Brovacum, Foxgloves and Lightwater Cottages, three cottages at Whinfell Park and Lane End On visitors to Center Parcs Holiday Village Likely significant effects at year 15: In a localised part of 8b: Broad Valleys landscape sub-type In a localised part of 8b: Broad Valleys landscape sub-type In a localised part of 8b: Broad Valleys landscape sub-type In a localised part of 10: Sandstone Ridge landscape sub-type In a localised part of 10: Sandstone Ridge landscape sub-type On residents of Lightwater Cottages, Whinfell Park and Lane End On users of PRoW 311004 On visitors to Center Parcs Holiday Village
Material Assets and Waste	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above). 	• Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).
Noise and Vibration	 There is potential for significant effects across the scheme. Effects will be temporary and localized depending on the specific activity and construction stage. The location and duration of these effects are yet to be determined and are subject to further assessment. 	 Significant adverse effects are predicted to 12 residential receptors. Subject to on-going mitigation design and further assessment. Significant beneficial effects are predicted to four residential receptor and one non-residential receptors.
Population and Human Health	 Walkers, cyclists and horse riders – potential for likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. 	• Walkers, cyclists and horse riders – potential for beneficial significant effects if additional formal crossing points are introduced across the A66, that will bring improvements to

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Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 Agricultural land holdings – potential for likely significant adverse effects due to the loss of or damage to key characteristics, features or elements of the agricultural holding and potential effect of this change on viability. Property would require acquisition and demolition as part of the scheme. At this stage potential significant effects cannot be ruled out Center Parcs Whinfell Forest - potential for likely significant effects due to disruption to the local road network which may impact visitors accessing the facility. Residents of rural properties, potentially negative health effects on wellbeing and quality of life due to noise and visual effects. Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities. 	 WCH journey times in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Center Parcs Whinfell Forest - potential for likely significant effects due improved access due to the provision of a new junction. Residents of rural properties, potentially negative health effects due to impacts on quality of life from noise effects Rural communities - potentially positive health effect due to improved access to community facilities resulting from improved traffic flows on the A66.
Road Drainage and the Water Environment	No likely significant effects anticipated.	No likely significant effects anticipated.

Temple Sowerby to Appleby

Table 16-5: Summary of preliminary assessment of likely significant environmental effects - Temple Sowerby to Appleby

Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Air Quality	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above). Assessment at ES stage will be undertaken at a local geographic level. 	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above). Assessment at ES stage will be undertaken at a local geographic level.



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Biodiversity	 Blue alternative There is potential for significant adverse effects on River Eden SAC and River Eden and Tributaries SSSI, Chapel Wood CWS, Ross Wood CWS, Dowpits Wood CWS, Temple Sowerby Shingle Banks, Oglebird Scar, Acorn Bank and Bolton Shingle Bank Sites of Invertebrate Significance, relating to habitat loss. Subject to further design and mitigation. There may also be significant effects on habitats (Oglebird Plantation AW, Chapel Wood AW, Ross Wood AW, Dowpits Wood AW, Veteran trees, Woodland, Hedgerow, Ponds, Open Mosaic habitat) due to loss of habitat or fragmentation. Effects will be the same as River Eden SAC for rivers and streams. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, amphibians, reptiles, terrestrial invertebrates and macrophytes, subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. Orange alternative The effects of the Orange alternative are expected to be similar to those described for the Blue alternative, with the following differences: Greater construction risks to the River Eden SAC/SSSI due to the closer proximity to the main river. 	 Blue alternative There is potential for significant adverse effects on River Eden SAC and River Eden and Tributaries SSSI, Chapel Wood CWS, Ross Wood CWS, Dowpits Wood CWS, Temple Sowerby Shingle Banks, Oglebird Scar, Acorn Bank and Bolton Shingle Bank Sites of Invertebrate Significance relating to air quality. Subject to further assessment There may also be significant effects on habitats (Oglebird Plantation AW, Chapel Wood AW, Ross Wood AW, Dowpits Wood AW, Veteran trees, Woodland, Hedgerow, Ponds, Open Mosaic habitat) due to degradation of ancient woodland. Effects will be the same as route wide table above for rivers and streams. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, wintering birds and breeding birds, amphibians, reptiles, terrestrial invertebrates and macrophytes, subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. Orange alternative The effects of the Orange alternative are expected to be similar to those described for the Blue alternative, with the following differences: Localised impacts to Temple Sowerby SSSI with the potential to support great crested newts. Red alternative The effects of the Red alternative are expected to be similar to those described for the Blue alternative, with the following differences: Additional shading of Keld Sike, which is not within the River Eden SAC/SSSI but is functionally linked.



Factor Preliminary assessment of likely significant environmental effects		I effects
	Construction stage	Operation stage
	 Greater potential for losses to scattered semi-mature and mature trees (some of which may be veteran trees). Localised impacts to Temple Sowerby SSSI with the potential to support great crested newts. Red alternative The effects of the Red alternative are expected to be similar to those described for the Blue alternative, with the following differences: 	
Climate	 No likely significant effects anticipated from all alternatives. 	No likely significant effects anticipated from all alternatives.
Cultural Heritage	 Blue alternative Permanent significant adverse effects are anticipated at the Roman Camp, 350m east of Redlands Bank. Orange alternative Permanent significant adverse effects are anticipated at the Kirkby Thore Roman fort and associated Vicus, and the Roman Camp east of Redlands Bank. Red alternative No likely significant effects anticipated. 	No likely significant effects anticipated from all alternatives.
Geology and Soils	 Blue alternative Likely significant effects due to the potential permanent land take and loss of high value 	 No likely significant effects anticipated from all alternatives.



Factor	Preliminary assessment of likely significant environmenta	l effects
	Construction stage	Operation stage
	 agricultural soil resource (Grade 2 and 3a agricultural land). Likely significant effects on soils supporting SAC or SSSI. Orange alternative Likely significant effects due to the potential permanent land take and loss of high value agricultural soil resource (Grade 2 and 3a agricultural land). Likely significant effects on soils supporting SAC or SSSI. Red alternative Likely significant effects due to the potential permanent land take and loss of high value agricultural soil resource (Grade 2 and 3a agricultural land). Likely significant effects due to the potential permanent land take and loss of high value agricultural soil resource (Grade 2 and 3a agricultural land). Likely significant effects due to the potential permanent land take and loss of high value agricultural soil resource (Grade 2 and 3a agricultural land). Likely significant effects on soils supporting SAC or SSSI. 	
Landscape and	Blue alternative	Blue alternative
Visual Effects	 Likely significant effects on 8b Broad Valleys Landscape sub-type Likely significant effects on 6 Intermediate Farmland Landscape sub-type Likely significant effects on North Pennines AONB Likely significant effects on the residents of Kirkby Thore, Spitals Farm, Priest Lane, Low Moor, between Low Moor and Kirkby Thore, between Sleastonhow Farm and Appleby Likely significant effects on PRoW at Temple Sowerby, Prow at Kirkby Thore, PRoW 341017, PRoW 317008, PRoW 317009, PRoW between Powis House and Appleby 	 Likely significant effects in year 1 on: 8b Broad Valleys Landscape sub-type 6 Intermediate Farmland Landscape sub-type North Pennines AONB Residents of Kirkby Thore, Spitals Farm, Priest Lane, Low Moor, between Sleastonhow Farm and Appleby PRoW at Temple Sowerby, PRoW at Kirkby Thore, PRoW 341017, PRoW 317008, PRoW 317009, PRoW between Powis House and Appleby Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type 6 Intermediate Farmland Landscape sub-type Residents of Kirkby Thore, Spitals Farm, Priest Lane, Low Moor, between Sleastonhow Farm and Appleby



Factor	Preliminary assessment of likely significant environmenta	l effects
	Construction stage	Operation stage
	 Likely significant effects on 8b Broad Valleys Landscape sub-type Likely significant effects on 6 Intermediate Farmland Landscape sub-type Likely significant effects on North Pennines AONB Likely significant effects on the residents of Kirkby Thore, Spitals Farm, Priest Lane, Low Moor, between Low Moor and Kirkby Thore, between Bridge End Farm and Powis House, between Sleastonhow Farm and Appleby Likely significant effects on PRoW at Kirkby Thore, PRoW 341017, PRoW 317008, PRoW 317009, PRoW between Powis House and Appleby Red alternative Likely significant effects on 8b Broad Valleys Landscape sub-type Likely significant effects on 6 Intermediate Farmland Landscape sub-type Likely significant effects on north Pennines AONB Likely significant effects on the residents of Kirkby Thore, Spitals Farm, Priest Lane, Low Moor, between Low Moor and Kirkby Thore, between Sleastonhow Farm and Appleby Likely significant effects on PROW at Temple Sowerby, PROW at Kirkby Thore, PROW 341017, PRoW 317008, PRoW 317009, PRoW between Powis House and Appleby 	 PRoW at Kirkby Thore, PRoW 341017, PRoW 317008, PRoW 317009, PRoW between Powis House and Appleby Orange alternative Likely significant effects in year 1 on: 8b Broad Valleys Landscape sub-type 6 Intermediate Farmland Landscape sub-type 8 Intermediate Farmland Landscape sub-type 8 Residents of Kirkby Thore, Spitals Farm, Low Moor, between Low Moor and Kirkby Thore, between Bridge End Farm and Powis House, between Sleastonhow Farm and Appleby PRoW at Kirkby Thore, PRoW 341017, PRoW 317008, PRoW 317009, PRoW between Powis House and Appleby Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type Residents of Kirkby Thore, Spitals Farm, Low Moor, between Low Moor and Kirkby Thore, between Bridge End Farm and Powis House, between Sleastonhow Farm and Appleby Likely significant effects in year 15 on:



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
		 Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type 6 Intermediate Farmland Landscape sub-type Residents of Kirkby Thore, Spitals Farm, Priest Lane, Low Moor, between Sleastonhow Farm and Appleby PRoW at Kirkby Thore, PRoW 341017, PRoW 317008, PRoW 317009, PRoW between Powis House and Appleby
Material Assets and Waste	• Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).	• Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).
Noise and Vibration	 There is potential for significant effects across the scheme. Effects will be temporary and localized depending on the specific activity and construction stage. The location and duration of these effects are yet to be determined and are subject to further assessment. 	 Blue alternative Significant adverse effects are predicted to 256 residential receptors and four non-residential receptors. Subject to ongoing mitigation design and further assessment. Significant beneficial effects are predicted to 124 residential receptor and eight non-residential receptors. Orange alternative Significant adverse effects are predicted to 20 residential receptors and three non-residential receptors. Subject to ongoing mitigation design and further assessment. Significant beneficial effects are predicted to 61 residential receptors and four non-residential receptors. Red alternative Significant adverse effects are predicted to 260 residential receptors and nine non-residential receptors. Subject to ongoing mitigation design and further assessment. Significant adverse effects are predicted to 260 residential receptors and nine non-residential receptors. Subject to ongoing mitigation design and further assessment.



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Population and Human Health	 Blue alternative Walkers, cyclists and horse riders – potential for likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings – potential for likely significant effects as a result of the loss of or damage to key characteristics, features or elements of the agricultural holdings and potential effect of this change on viability. Common Moss – potential for likely significant effects due to land take. Property would require acquisition and demolition as part of the scheme. At this stage potential significant effects cannot be ruled out Local residents – potentially negative effect on wellbeing due to a perceived reduction in the quality of the living environment and concerns about air quality and road safety due to increased HGV movements. Local residents – potentially negative effects on wellbeing, including increased annoyance and reduced enjoyment of outside space due to construction noise. Local residents - Potentially negative effects on wellbeing and quality of life due to noise and visual effects. Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities 	 Blue alternative Walkers, cyclists and horse riders – potential for beneficial significant effects if additional formal crossing points are introduced across the A66, that will bring improvements to WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Local residents - potentially positive and negative health effects due to impacts on quality of life from noise and visual effects Rural communities - potentially positive health effect due to improved access to community facilities resulting from improved traffic flows on the A66. Orange alternative Walkers, cyclists and horse riders – potential for beneficial significant effects if additional formal crossing points are introduced across the A66, that will bring improvements to WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Local residents - potentially positive and negative health effects due to increased journey times dependent upon the permanent scheme design. Local residents - potentially positive and negative health effects due to improved access to community facilities resulting from noise and visual effects Rural communities - potentially positive health effect due to improved access to community facilities resulting from noise and visual effects Rural communities - potentially positive health effect due to improved access to community facilities resulting from improved traffic flows on the A66.



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings – potential for likely significant effects due to the loss of or damage to key characteristics, features or elements of the agricultural holdings and potential effect of this change on viability. Land adjacent to primary school allocation – potential for likely significant effects due to temporary land take as approximately 30% of this allocation lies within the draft DCO boundary,. Acorn Bank (National Trust) - potential for likely significant effects as there is potential for a portion of land to be required for this scheme during construction. Common Moss - potential for likely significant effects as there is potential for likely significant effects as there is potential for likely significant effects as there is potential for a portion of land to be required for this scheme during construction. Piper Lane Recreational ground - potential for likely significant effects as there is potential for a portion of land to be required for this scheme during construction. Property would require acquisition and demolition as part of the scheme. At this stage potential significant effects cannot be ruled out Local residents – potentially negative effect on wellbeing due to a perceived reduction in the quality of the living environment and concerns about air quality and road safety due to increased HGV movements. Local residents – potentially negative effects on wellbeing, including increased annoyance and reduced enjoyment of outside space due to construction noise. 	 WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Local residents - potentially positive and negative health effects due to impacts on quality of life from noise and visual effects Rural communities - potentially positive health effect due to improved access to community facilities resulting from improved traffic flows on the A66.



Factor	Preliminary assessment of likely significant environmenta	l effects
	Construction stage	Operation stage
	 Local residents - Potentially negative effects on wellbeing and quality of life due to noise and visual effects. Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities Red alternative 	
	 Walkers, cyclists and horse riders – potential for likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings – potential for likely significant effects due to the loss of or damage to key characteristics, features or elements of the agricultural holding and potential effect of this change on viability. Townhead housing allocation – potential for likely significant effects as the allocation lies within the draft DCO boundary and will be required for the construction of the scheme. Common Moss - potential for likely significant effects as there is potential for a portion of land to be required for this scheme during construction. Property would require acquisition and demolition as part of the scheme. At this stage potential significant effects cannot be ruled out Local residents – potentially negative effect on wellb eing due to a perceived reduction in the quality of the living environment and concerns about air quality and road safety due to increased HGV 	
	 Local residents – potentially negative effects on wellbeing, including increased annoyance and 	



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Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 reduced enjoyment of outside space due to construction noise. Local residents - Potentially negative effects on wellbeing and quality of life due to noise and visual effects. Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities. 	
Road Drainage and the Water Environment	 No likely significant effects anticipated from all alternatives. 	 No likely significant effects anticipated from all alternatives.



Appleby to Brough

Table 16-6: Summary of preliminary assessment of likely significant environmental effects – Appleby to Brough

Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Air Quality	Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above).	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above).
Biodiversity	 Black-Black alternative There is potential for significant adverse effects on River Eden SAC and River Eden and Tributaries SSSI relating to habitat loss and potential for pollution of watercourses functionally linked to the site, and on Sandford Mire CWS relating to hydrology. Subject to further design and mitigation. There is the potential for North Pennine Moors SAC and SPA, Argill Woods and Pastures SSSI and Augll Valley Pasture SSSI relating to air quality. Subject to further assessment. There is potential for significant adverse effects due to loss of Priority Habitats. Subject to further design and mitigation. Effects will be the same as River Eden SAC for rivers and streams. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, amphibians, reptiles, terrestrial invertebrates and macrophytes, subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. 	 Black-Black alternative There is potential for significant adverse effects on River Eden SAC and River Eden and Tributaries SSSI, due to habitat loss of watercourses functionally linked to the site. There is the potential for North Pennine Moors SAC and SPA, Argill Woods and Pastures SSSI and Augll Valley Pasture SSSI relating to air quality. Subject to further assessment. Potential significant adverse effects on Sandford Mire CWS relating to hydrology. Effects will be the same as route wide table above for rivers and streams. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, amphibians, reptiles, terrestrial invertebrates and macrophytes, subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. Blue Alternative (central section) The effects for the Blue alternative are expected to be similar to the Black alternative within the central section of this scheme. Orange Alternative (eastern section) The effects for the Orange alternative are expected to be similar to the Black alternative within the eastern section of



Factor	ctor Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 The effects for the Blue alternative are expected to be similar to the Black alternative within the central section of this scheme. Orange Alternative (eastern section) The effects for the Orange alternative are expected to be similar to the Black alternative within the eastern section of this scheme, though the overall loss of habitats (and associated impacts on protected species) is expected to be greater. There is potential for greater shading and habitat loss effects are anticipated through the additional crossing of Lowgill Beck. 	this scheme, though fragmentation of habitats will be greater due to the offline nature of the alternative. Shading and habitat impacts expected to be greater for Lowgill Beck than the Black alternative for this section.
Climate	No likely significant effects anticipated from all alternatives.	No likely significant effects anticipated from all alternatives.
Cultural Heritage	 Black-Black alternative Permanent significant adverse effects are anticipated at the Warcop Roman Camp and Length Of Roman Road, 285m South West Of Moor House. Blue alternative (central section) No different likely significant effects anticipated as a result of the blue alternative for the central section. Orange alternative (eastern section) No different likely significant effects anticipated as a result of the blue alternative for the central section. 	 No likely significant effects anticipated from all alternatives.
Geology and Soils	 Black-Black alternative Likely significant effects due to the potential permanent land take and loss of high and medium value agricultural soil resource (Grade 3a and 3b agricultural land). Blue alternative (central section) 	 Black-Black alternative Potential beneficial significant effects on the UNESCO Global Geopark due to the potential for enhancement if cuttings or earthworks offer an opportunity to permanently expose geology of scientific interest. Blue alternative (central section)



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Landscape and	 No different likely significant effects anticipated as a result of the blue alternative for the central section. Orange alternative (eastern section) No different likely significant effects anticipated as a result of the orange alternative for the eastern section. Black-black 	 No different likely significant effects anticipated as a result of the blue alternative for the central section. Orange alternative (eastern section) No different likely significant effects anticipated as a result of the orange alternative for the eastern section. Black-black-black
Visual Effects	 Likely significant effects on 8b Broad Valleys Landscape sub-type Likely significant effects on 11a Foothills Landscape sub-type Likely significant effects on North Pennines AONB Likely significant effects on residents at the east of Coupland, Sandford, the Warcop area, the Flitholme area, and west of Brough Likely significant effects on PRoW between Coupland and Sandford, PRoW at Warcop and Flitholme, PRoW to the west of Brough, and PRoW in the North Pennines AONB Likely significant effects on visitors to Eden Valley Railway and Brough Castle Black-blue-black Likely significant effects on 11a Foothills Landscape sub-type Likely significant effects on North Pennines AONB Likely significant effects on residents at the east of Coupland, Sandford, the Warcop area, the Flitholme area, and west of Brough Likely significant effects on PROW between Coupland, Sandford, the Warcop area, the Flitholme area, and west of Brough Likely significant effects on PROW between Coupland, Sandford, the Warcop area, the Flitholme area, and west of Brough Likely significant effects on PROW between Coupland and Sandford, PROW at Warcop and Flitholme, PROW to the west of Brough, and PRoW in the North Pennines AONB 	 Likely significant effects in year 1 on: 8b Broad Valleys Landscape sub-type 11a Foothills Landscape sub-type North Pennines AONB Residents at Sandford, the Warcop area, the Flitholme area, and west of Brough PRoW at Warcop and Flitholme, PRoW 372013 and PRoW 372022, PRoW to the west of Brough, PRoW in the North Pennines AONB Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type 11a Foothills Landscape sub-type 11a Foothills Landscape sub-type North Pennines AONB Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type 11a Foothills Landscape sub-type North Pennines AONB Residents at Sandford, the Warcop area, the Flitholme area, and west of Brough PRoW at Warcop and Flitholme, PRoW 372013 and PRoW 372022, PRoW to the west of Brough PRoW in the North Pennines AONB Black-blue-black Likely significant effects in year 1 on: 8b Broad Valleys Landscape sub-type 11a Foothills Landscape sub-type 11a Foothills Landscape sub-type North Pennines AONB



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 Likely significant effects on visitors to Eden Valley Railway and Brough Castle Black-black-orange Likely significant effects on 8b Broad Valleys Landscape sub-type Likely significant effects on 11a Foothills Landscape sub-type Likely significant effects on North Pennines AONB Likely significant effects on residents at the east of Coupland, Sandford, the Warcop area, the Flitholme area, and west of Brough Likely significant effects on PRoW between Coupland and Sandford, PRoW at Warcop and Flitholme, PRoW to the west of Brough, and PRoW in the North Pennines AONB Likely significant effects on visitors to Eden Valley Railway and Brough Castle Black-blue-orange Likely significant effects on 11a Foothills Landscape sub-type Likely significant effects on 8b Broad Valleys Landscape sub-type Likely significant effects on North Pennines AONB Likely significant effects on PRoW between Coupland, Sanford, the Warcop area, the Flitholme area, and west of Brough Likely significant effects on PRoW between Coupland and Sandford, PRoW at Warcop and Flitholme, PRoW to the west of Brough, and PRoW in the North Pennines AONB Likely significant effects on PRoW between Coupland and Sandford, PRoW at Warcop and Flitholme, PRoW to the west of Brough, and PRoW in the North Pennines AONB Likely significant effects on visitors to Eden Valley Railway and Brough Castle 	 PROW at Warcop and Flitholme, PRoW 372013 and PRoW 372022, PRoW to the west of Brough, and PROW in the North Pennines AONB Visitors to Eden Valley Railway Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type 11a Foothills Landscape sub-type North Pennines AONB Residents at Sandford, the Warcop area, the Flitholme area, and west of Brough PRoW at Warcop and Flitholme, PRoW 372013 and PRoW 372022, PRoW to the west of Brough, and PRoW 372022, PRoW to the west of Brough Visitors to Eden Valley Railway Black-black-orange Likely significant effects in year 1 on: 8b Broad Valleys Landscape sub-type North Pennines AONB North Pennines AONB Residents at Sandford, the Warcop area, the Flitholme area, and west of Brough PROW at Warcop and Flitholme, PROW 372013 and PROW 329001 in the North Pennines AONB Visitors to Eden Valley Railway and Brough Castle Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type 11a Foothills Landscape sub-type 11a Foothills Landscape sub-type North Pennines AONB Visitors to Eden Valley Railway and Brough Castle Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type 11a



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
		 Visitors to Eden Valley Railway and Brough Castle Black-blue-orange Likely significant effects in year 1 on: 8b Broad Valleys Landscape sub-type 11a Foothills Landscape sub-type North Pennines AONB Residents at Sandford, the Warcop area, the Flitholme area, and west of Brough PRoW at Warcop and Flitholme, PRoW 372013 and PRoW 372022, PRoW to the west of Brough, and PRoW 329001 in the North Pennines AONB Visitors to Eden Valley Railway and Brough Castle Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type 11a Foothills Landscape sub-type North Pennines AONB Visitors to Eden Valley Railway and Brough Castle Likely significant effects in year 15 on: 8b Broad Valleys Landscape sub-type 11a Foothills Landscape sub-type North Pennines AONB Residents at Sandford, the Warcop area, the Flitholme area, and west of Brough PRoW at Warcop and Flitholme, PRoW 372013 and PRoW 372022, PRoW to the west of Brough, and PRoW 329001 in the North Pennines AONB Visitors to Eden Valley Railway and Brough Castle
Material Assets and Waste	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above). 	• Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).
Noise and Vibration	 There is potential for significant effects across the scheme. Effects will be temporary and localized depending on the specific activity and construction stage. The location and duration of these effects are yet to be determined and are subject to further assessment. 	 Black-Black alternative (PRA) Significant adverse effects are predicted to 58 residential receptors and five non-residential receptors. Subject to ongoing mitigation design and further assessment. Significant beneficial effects are predicted to five residential receptors. Black-Blue-Black alternative



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
		 Significant adverse effects are predicted to 42 residential receptors and two non-residential receptors. Subject to ongoing mitigation design and further assessment. Significant beneficial effects are predicted to five residential receptors.
		 Black-Black-Orange alternative Significant adverse effects are predicted to 75 residential receptors and five non-residential receptors. Subject to on-going mitigation design and further assessment. Significant beneficial effects are predicted to nine residential receptors and one non-residential receptor.
		 Black-Blue-Orange alternative Significant adverse effects are predicted to 42 residential receptors and two non-residential receptors. Subject to on-going mitigation design and further assessment. Significant beneficial effects are predicted to nine residential receptors and one non-residential receptor.
Population and Human Health	 Black-Black-Black alternative Walkers, cyclists and horse riders – potential likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings – potential likely significant effects due to the loss of or damage to key characteristics, features or elements of the agricultural holdings and potential effect of this change on viability. Ministry of Defence - potential likely significant effects as a result of potential loss of use/access of land during construction. BW 350/021 - potential likely significant effects as the Bridleway will be severed by the draft DCO boundary. 	 Black-Black alternative Walkers, cyclists and horse riders – potential for beneficial significant effects if additional formal crossing points are introduced across the A66, that will bring improvements to WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Residents of rural properties, potentially negative health effects on wellbeing and quality of life due to noise effects Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities Black-Blue-Black alternative Walkers, cyclists and horse riders – potential for beneficial significant effects if additional formal crossing points are



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 Residents of rural properties, potentially negative health effects on wellbeing and quality of life due to noise effects Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities Black-Blue-Black alternative Walkers, cyclists and horse riders – potential likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings – potential likely significant effects due to the loss of or damage to key characteristics, features or elements of the agricultural holding and potential effect of this change on viability. Ministry of Defence - potential likely significant effects as there is potential for loss of use/access of land during construction. BW 350/021 - potential likely significant effects as the Bridleway will be severed by the draft DCO boundary. Potential for permanent land take from properties. At this stage potential significant effects cannot be ruled out Residents of rural properties, potentially negative health effects on wellbeing and quality of life due to noise effects Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities 	 introduced across the A66, that will bring improvements to WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Residents of rural properties, potentially negative health effects on wellbeing and quality of life due to noise effects Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities Black-Black-Orange alternative Walkers, cyclists and horse riders – potential for beneficial significant effects if additional formal crossing points are introduced across the A66, that will bring improvements to WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Residents of rural properties, potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 Black-Black-Orange alternative Walkers, cyclists and horse riders – potential likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings – potential likely significant effects due to the loss of or damage to key characteristics, features or elements of the agricultural holding and potential effect of this change on viability. Rowan House housing allocation - potential likely significant effects as the majority of the allocation (96%) lies within the draft DCO boundary and there is potential for construction activities to take place directly within this land. Ministry of Defence - potential likely significant effects cannot be ruled out Property would require acquisition and demolition as part of the scheme. At this stage potential significant effects on wellbeing and quality of life due to noise effects Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities Black-Blue-Orange alternative Walkers, cyclists and horse riders – potential likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. 	 Residents of rural properties - potentially positive and negative health effects due to impacts on quality of life from noise effects. Rural communities - potentially positive health effect due to improved access to community facilities resulting from improved traffic flows on the A66.



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 Agricultural land holdings – potential likely significant effects due to the loss of or damage to key characteristics, features or elements of the agricultural holding and potential effect of this change on viability. Rowan House housing allocation - potential likely significant effects as the majority of the allocation (96%) lies within the draft DCO boundary and there is potential for construction activities to take place directly within this land. Ministry of Defence - potential likely significant effects as there is potential loss of use/access of land during construction. Property would require acquisition and demolition as part of the scheme. At this stage potential significant effects on wellbeing and quality of life due to noise effects Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities 	
Road Drainage and the Water Environment	 No likely significant effects anticipated from all alternatives. 	No likely significant effects anticipated from all alternatives.



Bowes Bypass

Table 16-7: Summary of preliminary assessment of likely significant environmental effects – Bowes Bypass

Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Air Quality	Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above).	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above).
Biodiversity	 There is the potential for likely significant effects on North Pennine Moors SAC and SPA and Bowes Moor SSSI relating to air quality. Subject to further assessment. There is potential for likely significant effects due to loss of Priority Habitats. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, amphibians (including great crested newt), reptiles and terrestrial invertebrates subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. 	 There is potential for likely significant effects on North Pennine Moors SAC and SPA, Bowes Moor SSSI and Deepdale Wood AW as a result of air quality impacts. Subject to further assessment. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, wintering birds, breeding birds, amphibians (including great crested newt), reptiles and terrestrial invertebrates subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts.
Climate	No likely significant effects anticipated.	No likely significant effects anticipated.
Cultural Heritage	No likely significant effects anticipated.	No likely significant effects anticipated.
Geology and Soils	Likely significant effects due to the potential permanent land take and loss of medium value agricultural soil resource (Grade 3b agricultural land).	 Potential beneficial significant effects on the UNESCO Global Geopark due to the potential for enhancement if cuttings or earthworks offer an opportunity to permanently expose geology of scientific interest.
Landscape and Visual Effects	 Likely significant, temporary effects on: Dales Fringe Area of High Landscape Value a localised part of Bowes broad character area a localised part of Cotherstone Moor broad character area a localised part of Lower Greta broad character area 	 Likely significant effects at year 1 on: Dales Fringe Area of High Landscape Value A localised part of Bowes broad character area Stone Bridge Farm Users of PRoW 6 around Bowes Users of PRoW 7 and 22 in the North Pennines AONB Likely significant effects at year 15 on:



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 residents of Bowes: North end of Kilmond View, West End Bungalow, Ivy Hall Cottage and Ivy Hall Farm residents to the south of Bowes and the A66 residents along Clint Lane Stone Bridge Farm users of PRoW 6 and 9 around Bowes users of PRoW 3, 7 and 22 in the North Pennines AONB a short section of the Pennine Way National Trail 	 Dales Fringe Area of High Landscape Value A localised part of Bowes broad character area
Material Assets and Waste	• Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).
Noise and Vibration	 There is potential for significant effects across the scheme. Effects will be temporary and localized depending on the specific activity and construction stage. The location and duration of these effects are yet to be determined and are subject to further assessment. 	 Significant adverse effects are predicted to nine residential receptors and one non-residential receptor. Subject to on- going mitigation design and further assessment.
Population and Human Health	 Walkers, cyclists and horse riders – likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings – the loss of or damage to key characteristics, features or elements of the agricultural holding and potential effect of this change on viability. Bowes Moor (two areas) – potential loss of use/access of land during construction. Allotment/Community growing Space - Potential loss of use/access of land during construction. 	 Walkers, cyclists and horse riders – Potential for beneficial significant effects if additional formal crossing points are introduced across the A66, that will bring improvements to WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Rural communities - potentially positive health effect due to improved access to community facilities resulting from improved traffic flows on the A66.



Factor Preliminary assessment of likely significant environmental effects		Il effects
	Construction stage	Operation stage
	 Property would require acquisition and demolition as part of the scheme. At this stage potential significant effects cannot be ruled out Residents of rural properties, potentially negative health effects on wellbeing and quality of life due to visual effects Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities Users of allotments/community growing space located off the Pennine Way – reduced access to green space and outdoor leisure activities due to direct impact on this resource 	
Road Drainage and the Water Environment	 No likely significant effects anticipated. 	 No likely significant effects anticipated.



Cross Lanes to Rokeby

Table 16-8: Summary of preliminary assessment of likely significant environmental effects – Cross Lanes to Rokeby

Factor	Preliminary assessment of likely significant environment	tal effects
	Construction stage	Operation stage
Air Quality	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above). 	Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above).
Biodiversity	 Black Cross Lanes – Black Rokeby (PRA) There is the potential for likely significant effects on Thorsgill Wood LWS and Rokeby Park and Mortham Wood LWS relating to air quality. Subject to further assessment. There is the potential for likely significant effects due to the loss of Priority Habitats, and possibly AW, and air quality. Potential loss of up to five mature sycamore trees. Subject to further design and mitigation. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, amphibians, reptiles and terrestrial invertebrates subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. Blue (Cross Lanes) alternative junction The effects are as per Black-Black route, though for this junction option there is more loss and severance of habitats at the western end of the scheme, particularly affecting deciduous woodland, hedgerows and semi-improved grassland. Red (Rokeby) alternative junction 	 Black Cross Lanes – Black Rokeby (PRA) There is the potential for likely significant effects on Thorsgill Wood LWS and Rokeby Park and Mortham Wood LWS and Waterfall Wood AW relating to air quality. Subject to further assessment. There is the potential for likely significant effects on Priority Habitats, and possibly AW, due to air quality. Subject to further assessment. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, wintering birds, breeding birds, amphibians, reptiles and terrestrial invertebrates subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. Blue (Cross Lanes) alternative junction The effects are as per Black-Black route. Red (Rokeby) alternative junction The effects are as per Black-Black route.

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Factor	Preliminary assessment of likely significant environment	tal effects
	Construction stage	Operation stage
	• The effects are as per the Black-Black route, though for this option there is additional severance to ancient woodland at Church Wood, loss and severance to Jones Wood AW and additional loss of Priority Habitats but reduced loss of the mature sycamore trees.	
Climate	No likely significant effects anticipated from all alternatives.	 No likely significant effects anticipated from all alternatives.
Cultural Heritage	 Black Cross Lanes – Black Rokeby (PRA) No likely significant effects anticipated from all alternatives. Blue (Cross Lanes) alternative junction Permanent significant adverse effects are anticipated to affect the Ring Ditch, 120m northeast of Poundergill. Red (Rokeby) alternative junction Permanent significant adverse effect is anticipated to affect the Grade II* Registered Park and Garden at Rokeby Park. 	 No likely significant effects anticipated from all alternatives.
Geology and Soils	Likely significant effects due to the potential permanent land take and loss of high value agricultural soil resource (Grade 3a agricultural land) for all alternatives.	 No likely significant effects anticipated from all alternatives.
Landscape and	Black Cross Lanes – Black Rokeby (PRA)	Black Cross Lanes – Black Rokeby (PRA)
Visual Effects	 Likely significant effects on Barningham, Brignall and Rokeby Broad Character Area Likely significant effects on residents at the west of Tutta Beck Farm, east of Tutta Beck Farm Likely significant effects on PRoW 5 and 8 west of Cross Lanes, PRoW 4, 5, 9 and 10 to the north of the A66, PRoW 3 and 6 to the south of the A66 Likely significant effects on visitors to the Church of St. Mary and Cross Lanes Organic Farm 	 Likely significant effects at year 1 on: Barningham, Brignall and Rokeby Broad Character Area Residents at the west of Tutta Beck Farm, School House, and The Rectory PRoW 5 and 8 west of Cross Lanes, PRoW 4, 5, 9 and 10 to the north of the A66, PRoW 3 and 6 to the south of the A66 Visitors to the Church of St. Mary and Cross Lanes Organic Farm



Factor	Preliminary assessment of likely significant environment	tal effects
	Construction stage	Operation stage
	 Blue (Cross Lanes) alternative junction Likely significant effects on Boldron and Lartington Broad Character Area Likely significant effects on residents at the west of Tutta Beck Farm, east of Tutta Beck Farm Likely significant effects on PRoW 14, 1, 5, 7, 8, and 19 west of Cross Lanes Likely significant effects on visitors to the Cross Lanes Organic Farm Red (Rokeby) alternative junction Likely significant effects on Barningham, Brignall and Rokeby Broad Character Area Likely significant effects on PRoW 13 to the north of Tutta Beck Farm Likely significant effects on PRoW 13 to the north of the A66, PRoW 3 and 6 to the south of the A66 Likely significant effects on visitors to Rokeby Park RPG 	 Likely significant effects at year 15: Barningham, Brignall and Rokeby Broad Character Area Residents at Pounder Gill, Smithy Cottage, Ivy Cottage, The Cottage and Birk House PRoW 5 and 8 west of Cross Lanes, PRoW 4, 5, 9 and 10 to the north of the A66, PRoW 3 and 6 to the south of the A66 Visitors to the Church of St. Mary and Cross Lanes Organic Farm Blue (Cross Lanes) alternative junction Likely significant effects at year 1 on: Boldron and Lartington Broad Character Area Residents at the west of Tutta Beck Farm, School House, and The Rectory PRoW 14, 19, 1, 5, 7 and 8 west of Cross Lanes Visitors to Cross Lanes Organic Farm Likely significant effects at year 15:



Factor	Preliminary assessment of likely significant environment	tal effects
	Construction stage	Operation stage
		 Barningham, Brignall and Rokeby Broad Character Area Residents at the School House, The Rectory, Tack Room Cottage, The Grove, and Ewebank PRoW 13 to the north of the A66, PRoW 3 and 6 to the south of the A66
Material Assets and Waste	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above). 	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).
Noise and Vibration	 There is potential for significant effects across the scheme. Effects will be temporary and localized depending on the specific activity and construction stage. The location and duration of these effects are yet to be determined and are subject to further assessment. 	 Black Cross Lanes – Black Rokeby (PRA) Significant adverse effects are predicted to 225 residential receptors and 12 non-residential receptors. Subject to on-going mitigation design and further assessment. Significant beneficial effects are predicted to 216 residential receptors and 64 non-residential receptors. Black Cross Lanes – Red Rokeby Significant adverse effects are predicted to 14 residential receptors and one non-residential receptors. Subject to on-going mitigation design and further assessment. Significant beneficial effects are predicted to 39 residential receptor and four non-residential receptors. Blue Cross Lanes – Black Rokeby Significant adverse effects are predicted to 195 residential receptors and eight non-residential receptors. Subject to on-going mitigation design and further assessment. Significant adverse effects are predicted to 195 residential receptors and eight non-residential receptors. Subject to on-going mitigation design and further assessment. Significant beneficial effects are predicted to 219 residential receptors and 65 non-residential receptors. Blue Cross Lanes – Red Rokeby Significant adverse effects are predicted to 219 residential receptors and 65 non-residential receptors.



Factor Preliminary assessment of likely significant environmental effects		tal effects
	Construction stage	Operation stage
Population and Human Health	 The following effects apply to all alternatives: Walkers, cyclists and horse riders – potential likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings – potential likely significant effects due to the loss of or damage to key characteristics, features or elements of the agricultural holding and potential effect of this change on viability. Cross Lanes Organic Farm Shop - potential likely significant effects due to a potential permanent loss of land – direct acquisition of land for the scheme. Residents of rural properties, potentially negative health effects on wellbeing and quality of life due to noise and visual effects Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities 	 Subject to on-going mitigation design and further assessment. Significant beneficial effects are predicted to 32 residential receptors and four non-residential receptors. The following effects apply to all alternatives Walkers, cyclists and horse riders – potential for beneficial significant effects if additional formal crossing points are introduced across the A66, that will bring improvements to WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Residents of rural properties, potentially negative health effects due to improved access to community facilities resulting from improved traffic flows on the A66.
Road Drainage and the Water Environment	No likely significant effects anticipated from all alternatives.	 No likely significant effects anticipated from all alternatives.



Stephen Bank to Carkin Moor

Table 16-9: Summary of preliminary assessment of likely significant environmental effects – Stephen Bank to Carkin Moor

Factor Preliminary assessment of likely significant environmental effects		al effects
	Construction stage	Operation stage
Air Quality	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above). 	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above).
Biodiversity	 There is the potential for likely significant effects due to loss of Priority Habitats. Subject to further design and mitigation. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, amphibians (including great crested newt), reptiles and terrestrial invertebrates subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts. 	 There is the potential for likely significant effects due to loss of Priority Habitats. Subject to further design and mitigation. Effects will be the same as route wide table above on bat roosts and bat activity, barn owls, wintering birds, breeding birds, amphibians (including great crested newt), reptiles and terrestrial invertebrates subject to ongoing surveys. Effects will be the same as route wide table above on red squirrel and other terrestrial mammal species due to habitat loss and possible fragmentation impacts.
Climate	 No likely significant effects anticipated. 	 No likely significant effects anticipated.
Cultural Heritage	 Permanent significant adverse effects are anticipated at the Roman Fort and Prehistoric enclosed settlement 400m west of Carkin. Subject to on-going mitigation design and further assessment. Permanent significant adverse effects are anticipated to affect the Roman vicus at Carkin Moor Fort. 	 No likely significant effects anticipated.
Geology and Soils	 Likely significant effects due to the potential permanent land take and loss of high and medium value agricultural soil resource (Grade 3a and 3b agricultural land). 	No likely significant effects anticipated.
Landscape and Visual Effects	 Likely significant effects on a localised part of Moors Fringe landscape character type Likely significant effects on residents of West Layton along West Lane, Browson Bank, Dunsa Bank, the 	 Likely significant effects at year 1 on: A localised part of Moors Fringe landscape character type Residents at Browson Bank, Dunsa Bank and Monks Rest Farm



Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 Fox Grove Area, Monks Rest Farm and near Carkin Moor Farm Likely significant effects on users of PRoW west of West Layton, PRoW east of West Layton, PRoW south of the A66 Likely significant effects on visitors to Mainsgill Farm Shop and Fox Hall Inn 	 Users of PRoW west of West Layton, PRoW east of West Layton, PRoW 20.55/1/1 and 20.55/6/1 south of the A66 Visitors to Mainsgill Farm Shop Likely significant effects at year 15 on: A localised part of Moors Fringe landscape character type Residents at Monks Rest Farm Users of PRoW 20.55/1/1 and 20.55/6/1 south of the A66 Visitors to Mainsgill Farm Shop
Material Assets and Waste	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above). 	• Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).
Noise and Vibration	 There is potential for significant effects across the scheme. Effects will be temporary and localized depending on the specific activity and construction stage. The location and duration of these effects are yet to be determined and are subject to further assessment. 	 Significant adverse effects are predicted to 26 residential receptors and one non-residential receptor. Subject to on-going mitigation design and further assessment. Significant beneficial effects are predicted to eight residential receptors.
Population and Human Health	 Walkers, cyclists and horse riders – potential likely significant effects due to the severance of PRoW and other WCH provisions due to the land required for the construction of the project. Agricultural land holdings – potential likely significant effects due to the loss of or damage to key characteristics, features or elements of the agricultural holding and potential effect of this change on viability. FP 20.23/8/1 - potential likely significant effects as the Footpath will be severed by the draft DCO boundary. 	 Walkers, cyclists and horse riders – potential for beneficial significant effects if additional formal crossing points are introduced across the A66, that will bring improvements to WCH journey times, in some cases. However, there is also the potential for adverse significant effects due to increased journey times dependent upon the permanent scheme design. Residents of rural properties, potentially negative health effects due to impacts on quality of life from noise and visual effects.

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Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 BW 20.23/5/1 - potential likely significant effects as the Bridleway will be severed by the draft DCO boundary. Potentially negative effects on mental wellbeing due to a perceived reduction in the quality of the living environment and concerns about air quality and road safety due to increased HGV movements. Residents of rural properties, potentially negative health effects on wellbeing and quality of life due to noise effects. Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities. 	 Rural communities - potentially positive health effect due to improved access to community facilities resulting from improved traffic flows on the A66.
Road Drainage and the Water Environment	No likely significant effects anticipated.	 No likely significant effects anticipated.



A1(M) Junction 53 Scotch Corner

Table 16-10: Summary of preliminary assessment of likely significant environmental effects – A1(M) Junction 53 Scotch Corner

Factor	tor Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
Air Quality	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above). 	 Preliminary assessment for air quality has been undertaken on the basis of the whole route (see route wide table above).
Biodiversity	 There is the potential for significant adverse effects on habitats (Deciduous woodland, Hedgerow and Poor semi-improved grassland) due to loss of mature woodland). Subject to ongoing design and mitigation. 	 There is the potential for significant adverse effects on habitats (Deciduous woodland, Hedgerow and Poor semi-improved grassland) due to loss of mature woodland). Subject to ongoing design and mitigation. There is potential for significant adverse effects on Limekiln Wood Site of Importance for Nature Conservation and Limekiln Ancient Woodland relating to air quality, subject to further assessment.
Climate	 No likely significant effects anticipated. 	No likely significant effects anticipated.
Cultural Heritage	 No likely significant effects anticipated. 	No likely significant effects anticipated.
Geology and Soils	 No likely significant effects anticipated. 	No likely significant effects anticipated.
Landscape and Visual Effects	No likely significant effects anticipated.	 No likely significant effects anticipated at year 1 or year 15.
Material Assets and Waste	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above). 	 Preliminary assessment for material assets and waste has been undertaken on the basis of the whole route (see route wide table above).
Noise and Vibration	 There is potential for significant effects across the scheme. Effects will be temporary and localized depending on the specific activity and construction stage. The location and duration of these effects are yet to be determined and are subject to further assessment and mitigation design. 	 Significant adverse effects are predicted to 12 residential receptors one non-residential receptors. Subject to on-going mitigation design and further assessment.
Population and Human Health	 Strategic Direction of Growth Area – Scotch Corner Designer Outlet Village – potential for significant effects related to disruption of access to this site 	 Moderate beneficial effects for the Strategic Direction of Growth Area – Scotch Corner Designer Outlet Village. Local residents – potential for negative effects on wellbeing from increased traffic noise.



PEIR - 16.	Summary
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Factor	Preliminary assessment of likely significant environmental effects	
	Construction stage	Operation stage
	 during construction cannot be ruled out at this stage. Local residents – potentially negative effects from temporary traffic delays affecting access from rural communities to Middleton Tyas. Rural communities – potentially negative health effect due to severance caused by construction activities and traffic, leading to reduced access to services and facilities. 	 Rural communities - potentially positive health effect due to improved access to community facilities resulting from improved traffic flows on the A66.
Road Drainage and the Water Environment	 No likely significant effects anticipated. 	 No likely significant effects anticipated.